

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Case Docket No. P5572  
October 9, 2001  
Express Mail Label No. EL821157646US  
0046.0001

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): P.M. Schein  
**METHOD, SYSTEM, AND PROGRAM FOR MANAGING INFORMATION  
FOR AN APPLICATION PROGRAM USING A FILE MANAGEMENT  
SYSTEM**



Enclosed are:

- ☒ 15 No. of Sheets of Drawings Sheet(s) of drawings + 0 extra copies;  
37 pages of Application; 19 pages of specification, 1 page of abstract  
☒ An assignment of the invention to Sun Microsystems, Inc. (      Will follow.)  
☐ An associate power of attorney.  
☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.  
☒ Declaration and Power of Attorney. (      Will follow.)  
☒ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.  
☒ IDS enclosed - references 14.

jc997 U.S. PTO  
09/97389  
10/09/01

CALCULATION OF FEES						
	ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	70 - 20* =	50	X \$9 or \$18	\$900	
B	INDEPENDENT CLAIMS FEE**	8 - 3* =	5	X \$42 or \$84	\$420	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					1,320
D	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$135; LARGE ENTITY FEE = \$270					\$0
E	BASIC FEE* SMALL ENTITY FEE = \$355; LARGE ENTITY FEE = \$710					\$740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$2,060
G	ASSIGNMENT RECORDING FEE					\$40
	**LIST INDEPENDENT CLAIMS 1, 16, 26, 31, 38, 53, 63, 67					

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**A copy of this sheet is  
enclosed.**

- ☒ A check in the amount of \$ 2,060 to cover the filing fee is enclosed.  
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☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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10-11-01

A

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PATENT

P5572

0046.0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for

Examiner: --

P.M. Schein

Serial No.: --

Art Unit: --

Filed: October 9, 2001

For: **METHOD, SYSTEM, AND  
PROGRAM FOR MANAGING  
INFORMATION FOR AN  
APPLICATION PROGRAM USING A  
FILE MANAGEMENT SYSTEM**

CERTIFICATE OF MAILING

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL821157646US

I hereby certify that patent application papers, including specification, claims and 15 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

October 9, 2001

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)

*Patricia McLaughlin*

(Signature)

10/09/01  
jc806 U.S. PTO

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